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Our Docket No.: 003918.P002XX3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Edwin Dair, et al.

Application No.: 09/833,107

Filed: April 10, 2001

For: METHODS AND APPARATUSES  
FOR MULTIBOARD FIBER OPTIC  
MODULES AND FIBER OPTIC  
MODULE ARRAYS

Examiner: Unassigned

Art Group: 2633

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants in accordance with their duty of disclosure under 37 CFR §1.56 and in accordance with 37 CFR §1.97(c)(2), submit the enclosed PTO-1449 Forms. Pursuant to 37 CFR 1.98(d), copies of the patents, publications and other information listed on the enclosed Form PTO-1449 are not included herewith. Copies of these references can be found in prior U.S. Patent Applications upon which this application claims the benefit thereof.

Pursuant to 35 USC 120, the above referenced U.S. Patent Application claims the benefit of and is a continuation-in-part of Application No. 09/321,308, filed May 27, 1999.

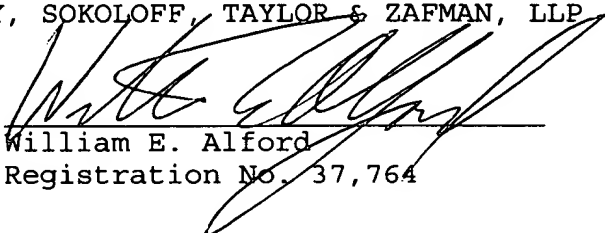
Pursuant to 37 CFR 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

This Information Disclosure Statement is being filed prior to a first office action or notice of allowance. Pursuant to 37 CFR §1.97(b), no fee is required for the filing of this Information Disclosure Statement.

Applicant respectfully requests that the cited documents be considered and that the enclosed PTO-1449 forms be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicant.

Respectfully submitted  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Dated: May 7, 2002

  
William E. Alford  
Registration No. 37,764

**CERTIFICATE OF MAILING**

12400 Wilshire Boulevard,  
Seventh Floor  
Los Angeles, California 90025  
(714) 557-3800

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Susan McFarlane  
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PTO/SB/21 (08-00)

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	09/833,107
		Filing Date	April 10, 2001
		First Named Inventor	Edwin Dair
		Group Art Unit	2874
		Examiner Name	Unassigned
Total Number of Pages in This Submission	12	Attorney Docket Number	3918P002XX3

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William E. Alford, Reg. No. 37,764 BLAKELY, SOKOLOFF, TAYLOR & ZAPMAN LLP
Signature	
Date	May 7, 2002

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Typed or printed name	Susan McFarlane		
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<b>Substitute for Form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary.)</i>				<b>Complete if Known</b>	
				Application Number	09/833,107
				Filing Date	04/10/01
				First Named Inventor	Edwin Dair, et al.
				Group Art Unit	2874
				Examiner Name	Unassigned
Sheet	1	of	7	Attorney Docket Number	003918.P002XX3

U.S. PATENT DOCUMENTS

Exam. Initials	Document Number	Date	Name	Class	Sub- class	Filing Date (if appropriate)
	3,792,284	2/12/74	Kaelin			
	3,809,908	5/7/74	Clanton			
	3,870,396	3/11/75	Racki, et al.			
	3,976,877	8/24/76	Thilays			
	4,161,650	7/17/79	Caouette, et al.			
	4,229,067	10/21/80	Love			
	4,273,413	6/16/81	Bendikens, et al.			
	4,347,655	9/7/82	Zory, et al.			
	4,419,072	4/10/79	Smith, et al.			
	4,432,604	2/21/84	Schwab			
	4,446,515	5/1/84	Sauer, et al.			
	4,449,244	5/15/84	Kopainsky			
	4,461,537	7/24/84	Raymer, II, et al.			
	4,493,113	1/8/85	Forrest, et al.			
	4,533,209	8/6/85	Segerson, et al.			
	4,534,616	8/13/85	Bowen, et al.			
	4,535,233	8/13/85	Abraham			
	4,539,476	9/3/85	Donuma, et al.			
	4,542,076	9/17/85	Bednarz, et al.			
	4,549,782	10/29/85	Miller			

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FOREIGN PATENT DOCUMENTS

Exam. Initials	Document Number	Date	Country	Class	Sub- class	Translation Yes	No
	0 652 696 A1	11/2/94	EPO				

Examiner Signature		Date Considered	
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**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Substitute for Form 1449A/PTO

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*(Use as many sheets as necessary.)*

Sheet	2	of	7
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Application Number	09/833,107
Filing Date	04/10/01
First Named Inventor	Edwin Dair, et al.
Group Art Unit	2874
Examiner Name	Unassigned
Attorney Docket Number	003918.P002XX3

Exam. Initials	Document Number	Date	Name	Class	Sub- class	Filing Date (if appropriate)
	4,549,783	10/29/85	Schmachtenberg, III			
	4,553,811	11/19/85	Becker, deceased, et al.			
	4,580,295	4/1/86	Richman			
	4,595,839	6/17/86	Braun, et al.			
	4,612,670	9/16/86	Henderson			
	4,625,333	11/25/86	Takezawa, et al.			
	4,647,148	3/3/87	Katagiri			
	4,678,264	7/7/87	Bowen, et al.			
	4,720,630	1/19/88	Takeuchi, et al.			
	4,727,248	2/23/88	Meur, et al.			
	4,807,955	2/28/89	Ashman, et al.			
	4,840,451	6/20/89	Sampson, et al.			
	4,844,581	7/4/89	Turner			
	4,881,789	11/21/89	Levinson			
	4,897,711	1/30/90	Blonder, et al.			
	4,913,511	4/3/90	Tabalba, et al.			
	4,945,229	7/31/90	Daly, et al.			
	4,979,787	12/25/90	Lichtenberger			
	4,986,625	1/22/91	Yamada, et al.			
	4,989,934	2/5/91	Zavracky, et al.			

[illegible]

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Signature**

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Attorney Docket Number		003918.P002XX3			
Sheet	3	of	7		

### U.S. PATENT DOCUMENTS

Exam. Initials	Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
	5,005,939	4/9/91	Arvanitakis, et al.			
	5,011,246	4/30/91	Corradetti, et al.			
	5,039,194	8/13/91	Block, et al.			
	5,043,775	8/27/91	Lee			
	5,093,879	3/3/92	Bregman, et al.			
	5,099,307	3/24/92	Go, et al.			
	5,104,243	4/14/92	Harding			
	5,109,453	4/28/92	Edwards, et al.			
	5,117,476	5/26/92	Yingst, et al.			
	5,122,893	6/16/92	Tolbert			
	5,136,152	8/4/92	Lee			
	5,155,786	10/13/92	Ecker, et al.			
	5,159,190	10/27/92	Hohberg, et al.			
	5,168,537	12/1/92	Rajasekharan, et al.			
	5,202,943	4/13/93	Carden, et al.			
	5,241,614	8/31/93	Ecker, et al.			
	5,259,054	11/2/93	Benzoni, et al.			
	5,280,191	1/18/94	Chang			
	5,285,512	2/8/94	Duncan, et al.			
	5,289,345	2/22/94	Corradetti, et al.			

### FOREIGN PATENT DOCUMENTS

Exam. Initials	Document Number	Date	Country	Class	Sub-class	Translation Yes	Translation No

Examiner Signature		Date Considered	
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